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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/572,994	11/08/2006	Lloyd A Nelson	ARZ-024630-US-01	9073
67844 7590 06/07/2011 ARIZONA CHEMICAL COMPANY, LLC ATTN: INTELLECTUAL PROPERTY DEPARMENT (LEGAL) P.O. Box 550850 Jacksonville, FL 32255				
EXAMINER				
VASISTH, VISHAL V				
ART UNIT		PAPER NUMBER		
1771				
MAIL DATE		DELIVERY MODE		
06/07/2011		PAPER		

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

**Advisory Action  
Before the Filing of an Appeal Brief**

**Application No.**

10/572,994

**Applicant(s)**

NELSON ET AL.

**Examiner**

VISHAL VASISTH

**Art Unit**

1771

***--The MAILING DATE of this communication appears on the cover sheet with the correspondence address --***

THE REPLY FILED 16 May 2011 FAILS TO PLACE THIS APPLICATION IN CONDITION FOR ALLOWANCE.

1. ☒ The reply was filed after a final rejection, but prior to or on the same day as filing a Notice of Appeal. To avoid abandonment of this application, applicant must timely file one of the following replies: (1) an amendment, affidavit, or other evidence, which places the application in condition for allowance; (2) a Notice of Appeal (with appeal fee) in compliance with 37 CFR 41.31; or (3) a Request for Continued Examination (RCE) in compliance with 37 CFR 1.114. The reply must be filed within one of the following time periods:

- a) ☐ The period for reply expires \_\_\_\_\_ months from the mailing date of the final rejection.  
b) ☒ The period for reply expires on: (1) the mailing date of this Advisory Action, or (2) the date set forth in the final rejection, whichever is later. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of the final rejection.  
Examiner Note: If box 1 is checked, check either box (a) or (b). ONLY CHECK BOX (b) WHEN THE FIRST REPLY WAS FILED WITHIN TWO MONTHS OF THE FINAL REJECTION. See MPEP 706.07(f).

Extensions of time may be obtained under 37 CFR 1.136(a). The date on which the petition under 37 CFR 1.136(a) and the appropriate extension fee have been filed is the date for purposes of determining the period of extension and the corresponding amount of the fee. The appropriate extension fee under 37 CFR 1.17(a) is calculated from: (1) the expiration date of the shortened statutory period for reply originally set in the final Office action; or (2) as set forth in (b) above, if checked. Any reply received by the Office later than three months after the mailing date of the final rejection, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**NOTICE OF APPEAL**

2. ☐ The Notice of Appeal was filed on \_\_\_\_\_. A brief in compliance with 37 CFR 41.37 must be filed within two months of the date of filing the Notice of Appeal (37 CFR 41.37(a)), or any extension thereof (37 CFR 41.37(e)), to avoid dismissal of the appeal. Since a Notice of Appeal has been filed, any reply must be filed within the time period set forth in 37 CFR 41.37(a).

**AMENDMENTS**

3. ☐ The proposed amendment(s) filed after a final rejection, but prior to the date of filing a brief, will not be entered because  
(a) ☐ They raise new issues that would require further consideration and/or search (see NOTE below);  
(b) ☐ They raise the issue of new matter (see NOTE below);  
(c) ☐ They are not deemed to place the application in better form for appeal by materially reducing or simplifying the issues for appeal; and/or  
(d) ☐ They present additional claims without canceling a corresponding number of finally rejected claims.  
NOTE: \_\_\_\_\_. (See 37 CFR 1.116 and 41.33(a)).

4. ☐ The amendments are not in compliance with 37 CFR 1.121. See attached Notice of Non-Compliant Amendment (PTOL-324).

5. ☐ Applicant's reply has overcome the following rejection(s): \_\_\_\_\_.

6. ☐ Newly proposed or amended claim(s) \_\_\_\_\_ would be allowable if submitted in a separate, timely filed amendment canceling the non-allowable claim(s).

7. ☒ For purposes of appeal, the proposed amendment(s): a) ☐ will not be entered, or b) ☒ will be entered and an explanation of how the new or amended claims would be rejected is provided below or appended.

The status of the claim(s) is (or will be) as follows:

Claim(s) allowed: \_\_\_\_\_.

Claim(s) objected to: \_\_\_\_\_.

Claim(s) rejected: 1-30.

Claim(s) withdrawn from consideration: \_\_\_\_\_.

**AFFIDAVIT OR OTHER EVIDENCE**

8. ☐ The affidavit or other evidence filed after a final action, but before or on the date of filing a Notice of Appeal will not be entered because applicant failed to provide a showing of good and sufficient reasons why the affidavit or other evidence is necessary and was not earlier presented. See 37 CFR 1.116(e).

9. ☐ The affidavit or other evidence filed after the date of filing a Notice of Appeal, but prior to the date of filing a brief, will not be entered because the affidavit or other evidence failed to overcome all rejections under appeal and/or appellant fails to provide a showing of good and sufficient reasons why it is necessary and was not earlier presented. See 37 CFR 41.33(d)(1).

10. ☐ The affidavit or other evidence is entered. An explanation of the status of the claims after entry is below or attached.

**REQUEST FOR RECONSIDERATION/OTHER**

11. ☒ The request for reconsideration has been considered but does NOT place the application in condition for allowance because:  
See Continuation Sheet.

12. ☐ Note the attached Information Disclosure Statement(s). (PTO/SB/08) Paper No(s). \_\_\_\_\_

13. ☐ Other: \_\_\_\_\_.

/Glenn A Caldarola/  
Supervisory Patent Examiner, Art Unit 1771

/Vishal Vasisth/ 5/25/2011

Continuation of 11, does NOT place the application in condition for allowance because: Applicants' amendments are entered and the amendments overcome the claim and specification objections and the 35 USC 112 rejections from the office action mailed on 3/15/2011, therefore these objections and rejections are withdrawn. Neither applicants' amendments nor arguments, however, are persuasive in having the claims allowed. Applicants argue that Denis does not disclose the esters comprising trimer acids derived from tall oil fatty acids. The examiner is in agreement with this contention and it is why Denis is no longer a valid 102 reference but instead being used as a 103 reference wherein the secondary reference is introduced for its disclosure of equivalence regarding trimer acids derived from natural oils - which are disclosed with specificity in Denis.

Applicants also argue that the combination of Denis and Tuyle do not make the instant claims obvious. This argument is also not persuasive. Tuyle is introduced to show that there is equivalence in trimer acids derived from natural oils including soya bean and tall oil fatty acids. Applicants indicate that the by-products or co-products of soya bean and tall oil fatty acids are equivalent, but applicants contend that the polymerization product derived from the natural oils are not equivalent. It is the position of the examiner that since the co-products are the same for both types of natural oils - that the finite number of products obtainable from the co-products would at least be obvious with a reasonable expectation of success based on the disclosure of Tuyle. Applicants have not satisfied the burden of showing how the products would be different as independent claims 1 and 16 are both product-by-process claims.

/Glenn A Caldarola/  
Supervisory Patent Examiner, Art Unit 1771